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#### 1 A resource capture efficiency index to compare differences in early 2 growth of four tree species in northern England.

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## 45 Key words:

- 6 Eucalyptus gunnii, Fraxinus excelsior, Acer pseudoplatanus, Alnus glutinosa, resource capture 7 efficiency
- 8

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#### 19 Abstract

20 21 At a trial established in Cumbria, northern England, significant differences in growth rate between 22 tree species were apparent, with cider gum (Eucalyptus gunnii) and alder (Alnus glutinosa) exhibiting 23 most rapid volume and biomass accumulation. Estimations were made of leaf area, specific leaf 24 area, leaf area ratio (based on stem mass not whole tree mass) and length of growing season. 25 These measurements were undertaken to explain tree growth difference and developing a growth 26 potential index based on growing season length and leaf area. The high leaf area of cider gum and 27 alder explained some of their superior growth, while alder also had the longest period in leaf, compared with ash (Fraxinus excelsior) and sycamore (Acer pseudoplatanus). The slow growth of 28 29 ash can be explained by the short period in leaf and also the relatively low leaf area ratio. 30 31 Leaf area to stem weight also differed between species with that of ash being relatively low. Specific

leaf area was also low for ash, a trait shared with cider gum, which suggests that these species invest highly in each unit of leaf area. Of the tree species assessed, the length of the growing season was longest for alder, enabling it to maintain growth for a longer period. By multiplying growing season by leaf area a resource capture index was calculated and this explained 56% of the variation in stem dry weight between trees. The potential and limitations for using this index are discussed.

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### 39 Introduction

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41 This paper compares the early growth at a trial in northern England of four broadleaved trees species 42 identified as having potential for short rotation forestry (Hardcastle 2006). Short rotation forestry for 43 bioenergy was identified as a cost-effective and rapid means of reducing greenhouse gases in 44 Matthews and Broadmeadow (2009), yet there is very limited experience of such systems in the UK. While quantifying yields from tree species in short rotation forestry systems is crucial, understanding 45 46 the underlying factors contributing to their productivity is also important. Yield varies considerably 47 between tree species and on a specific site is dependent on their resource capture efficiency and 48 resource use efficiency (Stape et al. 2004). Resource capture efficiency represents how efficiently a 49 tree is able to allocate its resources to harvesting light for photosynthesis. There are two components; leaf mass fraction (LMF) and specific leaf area (SLA) (Poorter et al. 2012). LMF (the

50 components; leaf mass fraction (LMF) and specific leaf area (SLA) (Poorter et al. 2012). LMF (the 51 ratio of leaf dry mass to total plant dry mass) reflects the priority of allocation of photosynthate to the

52 leaves. Differences in LMF exist among tree species, with higher LMF being an attribute of faster

- 53 growing trees (Poorter et al. 2012) and also shade bearing trees (Valladares & Niinemets 2008). LMF 54 is higher in evergreen than deciduous trees but this is partly because evergreen trees retain leaves 55 for two or more years (Poorter et al. 2012). This higher LMF in evergreens may therefore be largely 56 because of the lifespan of the leaf rather than higher partitioning of annual photosynthate to leaves 57 (Poorter et al. 2012).
- 58

59 SLA reflects the amount of resources allocated to each unit of leaf area and is the ratio of leaf area to 60 leaf dry mass. SLA is generally higher in conifers, compared with broadleaves and higher in fast growing trees that those that are slow growing. A meta-analysis undertaken by Poorter et al. (2009 61 62 in Poorter et al. 2012) found differences in SLA between types of trees were greater than for LMF. 63 High SLA is a characteristic of plants that have a high relative growth rate (RGR), small seed mass and both RGR and SLA were good predictors of a plant's potential invasiveness. Leaf area ratio 64 (LAR) is a variable that combines LMF and SLA, being the ratio between leaf area and total tree 65 66 weight and strongly influences RGR, particularly on nutrient rich sites (Poorter & Remkes 1990).

67

68 Growth of a tree is also influenced by the duration of its growing season. The length of growing 69 season has a strong influence on a tree's productivity and differences exist among species, origins 70 and individual trees in terms of their period of dormancy. The dormancy in pioneer trees is largely determined by temperature, rather than photoperiod. In contrast, late successional trees follow a 71 72 more conservative approach, requiring a longer period of chilling and are highly sensitive to 73 photoperiod (Basler & Korner 2012). Nutrition has also an influence on period of dormancy; tree 74 species with enhanced access to nutrients, including nitrogen fixing trees like alder adopt a higher 75 risk approach to the development of their foliage, in a similar way to pioneer trees (Tateno 2003).

76

At this trial, growth was measured but also attributes important in influencing this growth were quantified, and these were compared between the tree species. A resource capture efficiency index was devised (leaf area x period in leaf) and its relationship to stem volume was investigated. Results are compared with those of other researchers and differences observed between the tree species explained by their ecology.

83 The hypotheses tested were that:84

- there were differences in volume and biomass growth, SLA and LAR between the tree species at the trial;
- growth of stem volume was positively related to leaf area and growing season;
- the differences in growth between individuals and species can be explained by their resource capture efficiency and other aspects of their ecology.
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### 91 Materials and methods

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## 93 The Experiment94

95 A one hectare trial was established at Newton Rigg, Cumbria, England (55°40'56"N, 2°47'22"W) at an elevation of 160 m above sea level. Ash (Fraxinus excelsior), sycamore (Acer pseudoplatanus) and 96 97 alder (Alnus glutinosa) were planted in winter 2008 and cider gum (Eucalyptus gunnii) and shining 98 gum (Eucalyptus nitens) in April 2009. Excluding the eucalypts, species selected for the trial were all 99 classified by EMIS (Perks, Harrison & Bathgate 2006) as being "suitable", rather than "very suitable" or "unsuitable" for the site. The transplants were cell grown 20-40 cm plants raised by Alba Trees in 100 101 the Scottish borders and origins are described in Table 1, along with recommended origins for the 102 species.

103

<sup>104 &</sup>lt;Table 1 here>

- Aspects of the climate at the trial were obtained from the weather station at the Newton Rigg campus and from The Establishment Management Information System (EMIS) (Perks et al. 2006) and are
- 107 and from The Estal108 shown in Table 2.
- 100
- 110 <Table 2 here>
- 111 112 The trial site was originally grass pasture, the soil being a slightly acid (pH6) clay loam brown earth.
- Soil bulk density was 0.76 at 0 cm to 15 cm depth and 1.07 at 15 cm to 30 cm depth, while the soil nitrogen concentration was 0.36g cm<sup>-3</sup> and 0.29 g cm<sup>-3</sup> at 0 cm to 15 cm and 15 cm to 30 cm depth
- 115 respectively (Centre for Ecology & Hydrology 2013). Soil depth was not measured but was 116 sufficiently deep to not be a limitation to early tree growth.
- 117

The site use was previously improved pasture, which was sprayed before planting with Propazymide (3.75 litres ha<sup>-1</sup>) to kill the sward. The transplants were planted manually using a "T" notch and protected in 60 cm tubes. Planting was at 2.5m spacing between rows and 1.5 m spacing within rows giving a stocking rate of 2,667 stems ha<sup>-1</sup>. Routine spraying of weeds was undertaken twice during each growing season using glyphosate (5 litres ha<sup>-1</sup>).The design adopted for the trial was a randomised complete block design, which is the most commonly used design in forest experiments (Wright and Andrew 1976) and large 10 x 8 tree plots were adopted.

125 126

## Measurements and overview of analysis for each study

Measurements taken in the studies are described in the four sections below. For the measurements two trees of each of the four species were selected randomly from each of the six replicates in the trial. Shining gum was killed in its first winter and is not included as part of this study.

#### 133 Stem volume and biomass

134 135 Height and root collar diameter were measured for the twelve trees of each species in November 136 2010 (after two growing seasons) and November 2011 (after three growing seasons). Between 137 November 2010 and 2011 all the cider gum had died and so the later measurements were not 138 possible for this species. Height was measured using a height rod, while the root collar diameter 139 was measured to the nearest 0.1 mm using a digital vernier gauge and taking the mean of two 140 measurements taken at 90° to one another. Stem volumes were calculated using height (h) and 141 diameter (d) and assuming that the tree stems were conical in shape. To enable stem weights for the 142 trees to be estimated, wood samples were taken from a different sample of five trees of each species 143 and sections were cut from the base, middle and top of their stems. Volumes of these stem samples 144 were measured using a water displacement method using OHAUS analytical standard scales to 145 measure weight which was converted to a volume using a water density of 1 g cm<sup>-3</sup>. Stem samples 146 were oven dried at a temperature of 80°C for 3 days, until no further loss in weight was observed and 147 then weighed again to obtain dry weight. Specific gravity (SG) was then calculated for the wood 148 samples and SG (g cm<sup>-3</sup>) and V (cm<sup>3</sup>) were multiplied together to estimate whole-stem dry weight of 149 the study trees.

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### 151 Leaf Area

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153 In September 2010 after two growing seasons, the crowns of the twelve alder, ash and sycamore

154 trees measured for stem volume were wrapped in plastic bird netting to trap leaves as they fell. For 155 sycamore the collections of leaves in late October was straightforward as most of the leaves had

already been shed but for alder, many leaves had to be carefully removed from the crowns of the

sample trees. For trees with less than fifty leaves all leaves were measured and for those with more

158 than 50 leaves, all leaves were counted and a systematic sample of 50 was taken. For each leaf,

159 length (L) along the mid rib and width (W) at the widest point of the lamina and petiole length (P) was

160 measured to the nearest millimetre. The use of netting to capture leaves proved to be unsuitable for 161 trapping leaves of ash as their compound leaves disintegrated and some of the small leaflets fell out 162 of the bird netting. As such, the leaf length and width could not be measured but the leaf stalk (S) 163 without the leaflets, which remained trapped in the netting was measured for each leaf. For cider 164 gum, the evergreen species, the method of trapping fallen autumn leaves was not appropriate. For 165 each of the twelve trees, all the leaves were counted, classified as mature or juvenile and 50 leaves 166 were removed from the trees in a systematic way from the bottom to top of the trees to ensure a 167 good spread. Measurements of L, W and P were taken for each type of leaf.

167 168

169 From the leaves collected, a sample of forty was taken for each tree species across the range of 170 sizes. L, W and P was measured and also S for ash and the leaf area (LA) was then determined 171 using Compu Eye software and an Epson Perfection 1240 flatbed scanner. For cider gum forty 172 juvenile leaves and forty mature leaves were measured. For all species, leaves were then dried for 173 48 hours at a temperature of 70°C and weighed to obtain an oven-dried weight (M) using OHAUS 174 analytical standard scales and following the approach adopted by Verwijst and Wen (1996). As the 175 original ash leaves had disintegrated new ash leaves were collected at the end of the summer of the 176 following year for leaf area and weight determination purposes.

177

178 The total leaf area for the twelve sample trees of the four species was calculated using allometric 179 methods, similar to the approach adopted in other studies (Wargo, 1978; Verwijst & Wen 1996, Ugese et al. 2008, Serdar & Demirsoy 2006). This involved the determination of relationships 180 181 between measurements of L and W (and S for ash) to leaf area and leaf weight using least squares 182 regression. Best fit functions were selected based on R<sup>2</sup> and standard error statistics. Best fit 183 relationships were used to estimate the leaf area of each leaf sampled from the twelve trees of each 184 species. For each tree, a mean leaf area was calculated and this was multiplied by the total number 185 of leaves present to obtain an estimate of total leaf area per tree. For the twelve trees of each 186 species, the results from the leaf area measurements and of the stem weights were used to SLA (leaf 187 area/ leaf dry mass) and LAR (leaf area/stem dry weight) parameters. LAR was calculated based on 188 stem dry weight rather than the whole tree weight as below ground biomass was not assessed.

#### 189 Growing season

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The same twelve trees of each species used in the leaf area study, were assessed during 2011 to determine the length of the third growing season of the tree species at the trial. The method adopted elements from a study of leaf development in rowan (Sorbus aucuparia) (Forest Research no date) and one investigating leaf senescence in birch (Betula pendula) (Worrell 2006). The development of the bud was scored on a 0-5 scale with 0 for a dormant bud and 5 for full leaf expansion (the scale was 1 to 6 in original study from Forest Research, no date). The stages in the bud burst scoring were as follows:

- 199 0. Bud is closed and in a fully dormant winter state
- 200 1. Bud is swollen and the bud scales just started to open, however the bud is still vertical
- 201
   2. Bud scales have separated and the tightly furled leaves are visible. The bud is bent sideways and can appear "hooded"
- Bud scales are completely separated, leaves are starting to unfurl and separate but the leaflets
   (pinnae) on each leaf remain still furled. The leaves appear brownish in colour since the
   underside is predominantly visible.
- 4. The leaves are elongated and leaflets have started to separate as well. The appearance is nowmuch more green since the topside of the leaves is now visible
- 208 5. All leaflets have separated on the lowest two leaves and the shoot is expanding.
- The end of the growing season was assessed through a five stage leaf retention score based on a four stage scoring system originally developed by Worrell (2006) (a zero was added for no leaves).

- 211 As the trees were still relatively small, the assessment was made by estimating the percentage of the
- combined leaf area of the tree crown which was still green, not yellow or brown or had lost leaves.
- 213 This was scored in the following categories
- 214
- 215 0. No leaves present;
- 216 1. One leaf present to 20% of crown green;
- 217 2. 21-40% of crown green;
- 218 3. 41-60% of crown green;
- 219 4. 61-80% of crown green;
- 220 5. 81-100% of crown green.
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For ash, sycamore and alder the growing season length was calculated by multiplying the bud development score or the leaf retention score by number of days. This gave a relative measure of photosynthetic duration. The growing season for cider gum, an evergreen tree could not directly be measured in the same way.

### Resource capture efficiency228

For each of the twelve ash, alder and sycamore trees the influence of growing season and leaf area on growth was examined using a resource capture efficiency index, calculated by multiplying tree growing season (collected in 2011) by leaf area (collected in 2010). The formula and units of measurement are described below:

Resource capture index = leaf area  $(m^2)$  x growing season (leaf retention score x days)

236 The relationship between stem dry weight in 2010 and resource capture efficiency was investigated.

### 238 Statistical analysis239

Statistical tests were conducted using IBM SPSS Statistics v19. The approaches used to test
 variables for significance of differences between species is described in Figure 1.

243 <Figure 1 here>

Regression was used to characterise relationships between L, W and LA and between L, W and LDW. IN SPSS there were eleven functions available for regression and the best-fit equation was selected by a combination of the smallest standard error of the estimate (SEE) and the highest R<sup>2</sup>. The same approach was used to define a relationship between stem dry weight and the resource capture efficiency.

251 **Results** 

Where non parametric approaches to analysis have been applied the median is shown as a measure
of centrality, otherwise the mean for the data is presented.

# 256 Stem volume and biomass257

258 The stem volumes of the twelve trees of the four tree species for were assessed in late 2010 after

two growing seasons and for the surviving three species in late 2011 after three growing seasons.

260 The data for height, basal stem diameter and calculated volume are shown in Table 2. For the two

- and three growing seasons height, diameter and stem volume were compared between species and
- significant differences were found by species (Table 3).

- 263
- 264 <Table 3 here>
- 265

Table 3 shows the stem volume, specific gravity and stem dry weights after two growing seasons.
Kruskal Wallis and Mann Whitney tests were applied to these data and significant differences were
found and are described in Table 4. Medians for these data are shown.

- 269
- 270 <Table 4 here>

# 271272 Leaf Area

273 274 To determine leaf area, relationships between L, W and LA and between L, W and LDW were 275 investigated. The results from best-fit regressions are described in Table 4 and Table 5. LA (Table 5) and LDW (Table 6) were estimated for the twelve trees of each species by applying the regression 276 277 models to the L x W measurements for all but ash, where they were estimated from leaf stalk length. 278 Kruskal Wallis test and Mann Whitney tests showed highly significant differences in leaf number, LA 279 and LDW between species. The LA of alder and sycamore were not significantly different, but all 280 others were significantly different. The LDW of cider gum was different from all others, but 281 differences between the other species were not significant. In terms of leaf number, differences 282 between ash and sycamore were not significantly but were significantly different from other species 283 as were alder and cider gum. 284

- 285 <Table 5 here>
- 286 <Table 6 here> 287

LAR and SLA was calculated for the four tree species and the median values are shown in Figure 2.
Statistically significant differences in LAR and SLA were found between species.

291 <Figure 2 here>

Kruskal Wallis and Mann Whitney tests were applied to the LAR data and ash LAR was found to be
significantly different from the other three species. Kruskal Wallis and Mann Whitney tests
demonstrated that the SLA of all species were significantly different from each another, except for
ash and cider gum. P values were significant for SLA and very highly significant for LAR.

#### 297 Growing season

298

The period of bud burst and senescence for ash, sycamore and alder for 2011 are shown in Figure 3. Alder had a longer growing season than the other two species, with an earlier and more rapid bud burst and a later and longer period leading up to complete leaf drop. Ash and sycamore showed a similar response, with sycamore having more rapid bud burst and being slower to drop its leaves.

304 <Figure 3 here>

Cumulative growing season index data was not normally distributed and a Kruskal Wallis test showed
 that differences in growing season between species were highly significant, while Mann Whitney
 tests showed that there were very highly significant differences between sycamore and alder, and
 ash and alder.

310

### 311 Characterising resource capture efficiency.

- A correlation was performed of resource capture index against stem dry weight, which gave a R of 0.729 and was very highly significant (p<0.0001). A regression was conducted and the best fitting
- 315 function was quadratic (Figure 4,  $R^2 = 0.557$ , SEE=1.233, y = -0.17x<sup>2</sup> + 0.683x +2.267).
- 316
- 317 <Figure 4 here> 318

As a comparison correlations were performed for the two components (growing season index and LA) separately of the resource capture index against stem dry weight. For growing season index the correlation with stem dry weight was relatively weak with a R of 0.444 and a high significance (p<0.01). In contrast the correlation between dry weight and LA was stronger with a R of 0.733 and was very highly significant (p<0.0001). A regression showed the best fitting function to be cubic (Figure 5, R<sup>2</sup>=0.542, SEE=1.256, y=-1.977x<sup>3</sup>+2.132x<sup>2</sup>+5.093x+2.391).

325 326 <Figure 5 here>

## 327328 Discussion

329

330 The winter of 2009-2010 was the coldest in the UK since 1978-1979 and the UK experienced the 331 coldest December in 100 years (Prior & Kendon 2011). This was followed by another severe winter, 332 which apart from that of 2009-2010, was the coldest since the winter of 1985-1986 (Anon 2011). The 333 native and naturalised broadleaves were able to cope with these conditions, but the eucalypts fared 334 badly, particularly the less cold-tolerant shining gum which exhibited complete mortality over each of 335 the two severe winters. An analysis of the cold damage at the trial is described in Leslie, Mencuccini 336 and Perks (2014). Eucalypts have been planted on a limited extent in the UK; between 2011 and 337 2016 nurseries sold 220,000 transplants (Purse and Leslie 2016b) and this scale represented trial 338 rather than commercial planting. However the limited information on suitable origins for cider gum 339 (Cope, Leslie and Weatherall 2008) and shining gum (Evans 1986) suggests that the origins used in 340 this trial were not particularly well adapted. Furthermore it is accepted that shining gum is one of the 341 least hardy eucalypts planted in the UK and it is recommended that it be planted only within 20km of 342 the coast in southern England and closer to the coast elsewhere in the UK (Purse and Leslie 2016a). 343 The severely cold conditions and the origins planted explain some of the eucalypts poor 344 performance. 345

346 Of the tree species that survived the first winter, the largest stem volumes were achieved by cider 347 gum and alder, with the eucalypt producing nearly twice the volume of alder (Table 3). Cider gum had accumulated the largest leaf area, which would partly explain the fast growth of this species 348 349 (Table 5). The median leaf area of trees of alder (0.1919 m<sup>2</sup>) was significantly different from ash 350 (0.0627 m<sup>2</sup>) and cider gum (0.4999 m<sup>2</sup>), while that of sycamore (0.1856 m<sup>2</sup>) was significantly different 351 from cider gum. While ash had the lowest leaf area, it attained nearly the same stem volume as 352 sycamore suggesting that it exhibits greater photosynthetic efficiency. Also, the leaf area of alder 353 was not significantly different from sycamore yet it attained a significantly larger stem volume. These 354 observations suggest sycamore has low photosynthetic efficiency. By the end of the third growing 355 season cider gum had been killed in the winter of 2010-11 and the relative ranking of the remaining 356 species in terms of stem volume remained the same.

357 358 The leaf area of the trees was measured at the end of the growing season and this may not have 359 fully captured the leaf area over the whole season, as it does not incorporate leaf turnover. There 360 are considerable differences in leaf longevity between temperate tree species; mean leaf lifespan of 361 alder is 90 days and in maples and oaks can be as long as 180 days. (Kikuzawa 1995). Leaf 362 longevity may explain some of the differences found between species in SLA. The SLA of alder and 363 sycamore was relatively high and cider gum and ash was relatively low (with no significant difference 364 between the two). This suggests a greater allocation of resources per unit leaf area in cider gum and 365 ash and less resources per unit leaf area in sycamore and alder. Generally there is a positive 366 relationship between leaf mass: leaf area and the longevity of the leaves (Wright & Westoby 2002). 367 Thus some trees invest relatively little in each metre of leaf area, allowing rapid build up of canopy,

fast cycling of leaves and high initial growth. In contrast other trees invest more heavily in each
 square metre of leaf area but retain these leaves for longer, resulting in a longer period of return from
 those leaves (Wright & Westoby 2002).

372 In terms of SLA, this would suggest that trees which retain their leaves for longer periods will have a 373 lower SLA and those with short leaf longevity have a high SLA. Alder leaves are retained by the tree 374 for a relatively short period (Kikuzawa 1995) and so, as found in this study (Figure 2) exhibit a 375 relatively high SLA of (8.8 m<sup>2</sup> kg<sup>-1</sup>) which would support such a strategy, each leaf being allocated a relatively low investment of resources. There are no studies of the leaf longevity of cider gum, but 376 377 Whitehead and Beadle (2004) note that in general eucalypt leaves are thick, tough and long-lived, a 378 reflection of their evergreen habit and their association with sites of low soil nutrients and mild 379 winters. A study in Australia found Eucalyptus paniculata leaf lifespan to be 1.09 years and that of 380 Eucalyptus umbra to be 2.06 years (Wright & Westoby 2002), but Laclau et al. (2009) studying 381 Eucalyptus grandis in Brazil found unfertilised trees in plantation retained their leaves for 111 days. 382 The relatively low SLA (4.9 m<sup>2</sup> kg<sup>-1</sup>) of cider gum (Figure 2) suggests a relatively long leaf lifespan. 383 Ash also exhibited a low SLA and a study by Alberti et al. (2005) of older trees also found a low SLA 384 for ash, compared with Wych elm (Ulmus glabra). Another characteristic of trees with high SLA, such 385 as the alder and sycamore in this study, is that they tend to exhibit high photosynthetic nitrogen use 386 efficiencies, whereas trees with a low SLA adopt a different strategy; absorbing a greater proportion 387 of the light available through a higher chlorophyll content in the leaves (Poorter & Evans 1998).

388 389 Statistically significant differences in specific gravity were found between species, with alder having a 390 particularly low density (Table 4), also being lower than the 0.540 Mg m<sup>-3</sup> cited by Claessens (2005 in 391 Claessens et al. 2010), perhaps due to the young age of the trees. The specific gravity of ash was 392 similar (0.550 as opposed to 0.560 Mg m<sup>-3</sup>) to that found in larger trees from Italy (Alberti et al. 2005) 393 and that of cider gum was similar (0.548 as opposed to 0.500 Mg m<sup>-3</sup>) to that found in French 394 plantations (AFOCEL 2003). The specific gravity was multiplied by stem volume enabling LAR (using 395 stem weight rather than the conventional whole tree weight) to be calculated. This was compared by 396 species and very highly significant differences were found between ash and all other species. 397 Therefore ash supports a smaller leaf area per unit stem weight than sycamore, alder and cider gum 398 (Figure 2). A shortcoming of this experiment was not to estimate branch and root weight of the trees, 399 as this would have enabled a true LAR to be calculated and a better understanding of the relative 400 allocation of resources between different parts of these trees. There are known to be differences in 401 the allocation of resources between stem and leaves and roots between tree species (Poorter et al. 402 2012). At the trial differences were observed; some individuals of shining gum exhibited instability 403 due to excessive above ground growth and had fallen over, despite being supported by a tree shelter 404 and bamboo cane. The other species showed good stability.

405

406 Phenology of temperate trees is determined by temperature and photoperiod, with the importance of 407 each of these factors varying with tree species (Basler & Körner 2012, Vitasse et al. 2012,). This 408 study used visual assessment of budburst, which is the normal method used in field dormancy 409 studies (Cooke et al. 2012). The pattern of bud burst and leaf fall between ash, alder and sycamore 410 is illustrated in Figure 3. This shows that alder begins to come into leaf earlier than the other two 411 species and also retains its foliage for longer into autumn and that ash flushes later and loses leaves 412 earlier in autumn than the other two tree species. Basler and Körner (2012) found that there was no 413 effect of photoperiod on bud burst of ash or sycamore, while a study (Vitasse et al. 2009) on the 414 effect of temperature on budburst in seven temperate trees showed that of those planted at this trial, 415 ash had the highest sensitivity to temperature, with sycamore being in the middle of the ranking. 416 Spring 2011, when the assessment was made was particularly warm, being the warmest across the 417 UK since 1910 (Met Office undated c). It is likely therefore that the growing season for 2011 was 418 abnormally long for these species.

419

420 The phenology data for ash, sycamore and alder were based on monitoring the development and 421 senescence of leaves on the terminal bud but development of leaf area in trees is complex.

422 Focusing on the terminal bud does not allow the pattern of whole tree leaf area to be examined and

pioneer trees tend to adopt a different approach to climax species. Climax or forest tree species
show a flushing habit of leaf development, whereas pioneers show a successive pattern of leaf
development (Kikuzawa 1995). The patterns of flushing between alder, ash and sycamore showed
differences (Figure 3). The progression of leaf unfolding started earlier in alder but was also more
gradual in alder than in the other two species, which exhibited rapid flushing over a relatively short
period.

- 429 430 Combining leaf area measurements from 2010 with growing season data from 2011 to create a 431 resource capture index explained 56% of the differences in 2010 stem dry weight of the trees (Figure 432 4). The best fitting relationship in terms of R<sup>2</sup> was a curve but a linear relationship also provided a 433 good fit (R<sup>2</sup>=0.548). The nature of the relationship is difficult to identify precisely because of the lack 434 of data at the higher end of the combined leaf area and growing season index. A possible 435 explanation for a curved relationship between growth potential index and stem dry weight is that light 436 interceptance by canopies is not linearly related to leaf area index, but follows a similar curved 437 relationship due to mutual shading of leaves (Cannell et al. 1989). This is supported by the curvilinear 438 relationship between leaf area and stem dry weight (Figure 5). Using leaf area alone explained 54% 439 of the variation in stem dry weight, only marginally poorer than combining leaf area and growing 440 season. This can be explained by the relatively small differences in growing period between the tree 441 species. Had the origins been collected across a wider range of latitudes it is likely that variation in 442 growing season between species would have been greater.
- 443

444 Growth is related to three variables; the site resources, the resource capture efficiency and the 445 resource use efficiency (Stape et al. 2004). Multiplying leaf area by growing season provided a 446 measure of the resource capture efficiency of the tree species at this trial. A combination of greater 447 leaf area and longer period of growth has enabled alder to accumulate stem dry weight more rapidly 448 than ash and sycamore (Figure 4). The rate of photosynthesis in a tree species is strongly linked to 449 the nitrogen content of leaves due to large amount of leaf nitrogen devoted to chloroplasts (Poorter & 450 Evans 1998) and alder, being a nitrogen fixing tree is likely to be able to devote larger concentrations 451 of nitrogen to its leaves than the other species. This study also showed that alder exhibited a high 452 SLA, (Figure 2) allocating relatively little biomass for every square metre of leaf area. Trees with high 453 SLA are known to exhibit high photosynthetic nitrogen use efficiency (Poorter & Evans 1998) and in general high relative growth rates (Antinez et al. 2001). The higher leaf nitrogen concentration and 454 455 this higher photosynthetic nitrogen efficiency may partly explain why alder has been able to build up leaf area rapidly and also use this leaf area efficiently. A further strength of alder is its relatively long 456 457 growing season compared with sycamore and ash (Figure 3). Alder, is also known to have a short 458 leaf longevity (Koike & Sanada 1989, Kikuzawa 1995), enabling it to replace damaged leaves rapidly. 459

- The most rapid growing species, cider gum was able to develop the highest leaf area of any of the species over two growing seasons (Table 5), a contributory factor being that it retains leaves for more than one growing season. Other factors contributing to the high productivity are the long period of photosynthetic activity and the high photosynthetic efficiency known of eucalypts, particularly under conditions of high stomatal conductance (Whitehead & Beadle 2004). Furthermore, the opportunistic nature of eucalypt growth is likely to have enabled cider gum to exhibit a longer growing season than alder.
- 468 The leaf area of alder and sycamore were not significantly different (Table 5) and they both exhibit 469 high SLA, yet alder had accumulated a greater stem dry weight (Table 4), due to a longer period in 470 leaf and potentially due to higher leaf nitrogen content, allowing higher rates of photosynthesis (Koike 471 & Sanada 1989). Ash was the slowest growing species, and had the lowest leaf area (Table 5) and 472 the shortest growing period of the four tree species (Figure 3). Another factor may be low rate of 473 photosynthesis in ash; a study by Koike and Sanada (1989) found that ash (Fraxinus mandshurica) 474 had a relatively low rate of photosynthesis across soils with a range of levels of soil nitrogen, when 475 compared with alder (Alnus hirsuta) and birch (Betula maximowcziana). 476

477 There were several limitations to this study. The method used to harvest leaves at the end of the 478 growing season was unsuitable for ash and measuring leaf area at one point in time ignored potential 479 turnover of leaves during the growing season. The approach used to measure growing season did not allow the estimation of growing season for cider gum, an evergreen tree. This prevented a 480 481 comprehensive comparison of the trees surviving the first winter. If the study was repeated the root 482 and branch biomass would also be estimated to enable calculation of the LAR. Furthermore, growing 483 season and leaf area was assessed in two different years and it would have been more consistent to 484 measure these in the same year.

485

### 486 Conclusion487

488 It is clear that there are significant differences in growth between the tree species tested at this trial. 489 By the end of the second growing season all shining gum, the fastest growing species had been 490 killed by the cold winter of 2009-2010. Of the surviving three species, the species with greatest stem 491 volume after two growing seasons was cider gum followed by alder (Table 3). After three growing 492 seasons none of the original eucalypts survived and alder exhibited the largest stem volume, 493 although the specific gravity of the wood was less than the other species (Table 4). Cider gum had 494 developed a particularly high LA after two growing seasons, with alder and sycamore following with LA that were not significantly different (Table 5). However, alder had grown much more quickly and 495 496 its longer growing season (Figure 3) may contribute to this higher growth rate. LAR (stem weight) 497 was particularly low for ash (Figure 2), indicating that ash allocates less relative resources to leaves 498 than to its stem. SLA was also low for ash, as well as cider gum indicating that these species invest 499 relatively high resources in each unit area of leaf area, relative to alder and sycamore (Figure 2). 500 The strong influence of LA and growing season on productivity was shown by creating a resource 501 capture efficiency by multiplying growing season by LA, and this explained 56% of the variation in 502 stem dry weight between trees (Figure 4), however this was only marginally better than LA alone 503 (Figure 5). It is likely that growing season would have a greater influence on growth if origins had 504 been selected form a wider range of latitudes.

- 505 506 The results show that for biomass production on similar sites, alder would be a good candidate, being 507 capable of rapidly accumulating LA and exhibiting a long growing season, resulting in high 508 productivity. However, studies have shown that stands of alder emit N<sub>2</sub>O, which may possibly make it 509 less attractive as a candidate tree for sequestration of greenhouse gas (Arnold et al. 2005, Mander et 510 al. 2008).
- 511512 List of abbreviations
- 513 514 L: length of leaf
- 515 LA: leaf area
- 516 LAR: leaf area ratio
- 517 LMF: leaf mass fraction
- 518 LDW: leaf dry weight
- 519 M: oven dry weight of stem
- 520 RGR: relative growth rate
- 521 S: length of petiole and main midrib in ash
- 522 SG: specific gravity
- 523 SLA: specific leaf area
- 524 V: stem volume
- 525 W: maximum width of leaf 526

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- 698

Table 1 Origins of trees used in the trial and recommendations for the origins. A map of regions of provenance (Zones) for Great Britain is available in Forestry Commission (undated).

Species	Origin	Recommendations
Alder	Zone 108, South west Scotland	Use British provenances <sup>1</sup> .
Ash	Zone 108, South west Scotland	Seed stand material or material slightly to the south of planting site <sup>2</sup> .
Birch	Zone 202, central to north east Scotland.	Avoid origins from long distances away from the planting site (slightly southern/ eastern locations seems to give more rapid growth) <sup>2</sup> .
Cider gum	Likely to be from a seed stand at Dipton, New Zealand <sup>3</sup> . Original origin unknown.	Origins from Lake McKenzie and Mount Cattley, Tasmania perform particularly well <sup>4,5</sup> .
Shining gum	Likely to be from a seed stand at Dipton, New Zealand <sup>3</sup> . Origin Central Victoria	Victoria provenances are most frost hardy <sup>4</sup>
Sycamore	Zone 403, Midlands, England	Most British provenances grow well at most sites. May increase productivity by using origins from sites slightly to the south 0f the planting site <sup>2</sup> .

701 Recommendations from <sup>1</sup>Worrell (1992) <sup>2</sup>Hubert and Cundall (2006), <sup>3</sup>Purse pers. comm. 2009a, <sup>4</sup>Evone (1986), <sup>5</sup>Cone, Leslie and Weatherell (2008)

<sup>4</sup>Evans (1986), <sup>5</sup>Cope, Leslie and Weatherall (2008)

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Table 2 Climate at the trial from the Establishment Management Information System<sup>1</sup> and from 1971

to 2000 average data from the Newton Rigg weather station<sup>2</sup> (Met Office undated a, except for

707 minimum temperature, which is from Met Office undated b)

(	AT5 <sup>1</sup> degrees yr <sup>-1</sup> )	CT <sup>1</sup> (°C)	DAMS <sup>1</sup>	MD¹ (mm)	Summer Rainfall (mm) <sup>1</sup>	Winter Rainfall (mm) <sup>1</sup>	Mean Min Temp (°C) <sup>2</sup>	Min Temp (°C)²		Mean Max Temp (°C) <sup>2</sup>
	1503.4	6.3	14	148.2	386.4	396.2	0.4 (Jan)	-14ºC	57.6	19.4 (Jul)

AT5 = Accumulated temperature above 5°C, CT=continentality, DAMS = Detailed aspect method of scoring, MD = moisture deficit. A detailed description of these variables is found in Pyatt et al (2001). Annual rainfall = summer rainfall + winter rainfall.

713 Table 3 Height, stem diameter and volume for each species after two and three growing seasons.

	2 g	growing seas	sons	3 growing seasons			
Species	Height <sup>1</sup> Diameter <sup>2</sup> (cm) (mm)		Stem volume <sup>2</sup> (cm <sup>3</sup> )	Height <sup>3</sup> (cm)	Diameter <sup>3</sup> (mm)	Stem volume <sup>2</sup> (cm <sup>3</sup> )	
Alder	155.5a	28.7a	9.6a	194.5a	43.8a	23.0a	
Ash	119.0b	20.7b	6.4b	133.8b	27.4b	9.5b	
Cider gum	199.5c	35.7c	18.0c	-	-	-	
Sycamore	134.0ab	14.3b	4.9b	162.0ab	20.0b	8.1b	

<sup>714</sup> <sup>1</sup>Anova and Tukey's test (Mean shown), <sup>2</sup>Kruskal Wallis and Mann Whitney U test (Median shown),

<sup>3</sup>natural logarithm transformed then Anova and Tukey's test (Mean shown). The same lower case

716 letter (a, b or c) denotes no significant difference between species.

719 Table 4 Specific gravity (SG) and calculated stem dry weight (M) after two growing seasons from 12

720	randomlv	selected trees of each species.
120	ranuonny	selected thees of each species.

Species	Specific gravity (g cm <sup>-3</sup> = Mg m <sup>-3</sup> )	Stem dry weight (g)		
Alder	0.391a	3.759a		
Ash	0.563b	3.539ab		
Cider gum	0.553c	9.896c		
Sycamore	0.497c	2.390b		

721 Kruskal Wallis and Mann Whitney U test applied to specific gravity and stem dry weight (Medians

shown). The same lower case letter (a, b or c) denotes no significant difference between species.

- Table 5 Description of the models predicting leaf area where y is mean area of one leaf (LA) in cm<sup>2</sup>
- and x is L (cm) x W (cm) of the leaf, except for ash where x is leaf stalk length and median leaf area
- 725 by species. Total tree LA was calculated by multiplying number of leaves by the mean area of one
- 726 leaf and converted in m<sup>2</sup>.

Species	Number leaves	Regression model	R <sup>2</sup>	SEE	Median tree LA (m <sup>2</sup> )
Alder	202a	y=0.325x <sup>1.102</sup>	0.941	0.202	0.1919a
Ash	22b	$y = 0.1201x^{2.1891}$	0.707	0.524	0.0627b
Sycamore	25b	$y = 0.532x^{1.021}$	0.964	0.197	0.1856a
E. gunnii (mature)	– 657c	y=0.052x <sup>2</sup> +0.448x+1.032	0.967	0.947	0.4999c
E. gunnii (juvenille)	- 0570	y=0.7714x <sup>0.943</sup>	0.881	0.216	0.49990

- Table 6 Description of the models predicting leaf area where y is LDW of a leaf in grammes and x is
- L (cm) x W (cm) of the leaf, except for ash where x is leaf stalk length. Whole tree LDW was calculated by multiplying number of leaves by the mean dry weight of a leaf.

Species	Regression model	R <sup>2</sup>	SEE	Median tree LDW (g)
Alder	y=0.054+0.001x+0.0000751x <sup>2</sup> - 0.000000292x <sup>3</sup>	0.967	0.041	21.75a
Ash	$y = 0.004x^2 + 0.005x - 0.029$	0.853	0.187	12.44a
Sycamore	y=0.007-0.20x	0.970	0.099	23.63a
E. gunnii (mature)	y=0.010x+0.001x <sup>2</sup> +0.029	0.981	0.017	100.98b
E. gunnii (juvenille)	y=0.012x+0.021	0.932	0.300	100.900

- 733 Figure 1 Approach used to determine significance of differences between variables.
- Figure 2 Leaf Area Ratios (LAR) and Specific Leaf Areas (SLA) for the four tree species (Different
  letters above the bars indicates a significant difference, confidence intervals are not given because of
  lack of normality).
- Figure 3 Bud burst and leaf retention of ash, sycamore and alder over the growing season of 2011.
- Figure 4 Stem dry weight against resource capture efficiency.
- Figure 5 Stem dry weight against leaf area.