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## 22 Abstract

23 Killer whale acoustic behavior has been extensively investigated, however most studies 24 have focused on pulsed calls and whistles. This study reports the production of low-25 frequency signals by killer whales at frequencies below 300 Hz. Recordings were made 26 in Iceland and Norway when killer whales were observed feeding on herring, and no 27 other marine mammal species were nearby. Low-frequency sounds were identified in 28 Iceland and ranged in duration between 0.14 and 2.77 seconds and in frequency between 29 50 and 270 Hz, well below the previously reported lower limit for killer whale tonal 30 sounds of 500 Hz. Low-frequency sounds appeared to be produced close in time to tail 31 slaps, which are indicative of feeding attempts, suggesting that these sounds may be 32 related to a feeding context. However, their precise function is unknown and they could 33 be the by-product of a non-vocal behavior, rather than a vocal signal deliberately 34 produced by the whales. Although killer whales in Norway exhibit similar feeding 35 behavior, this sound has not been detected in recordings from Norway to date. This study 36 suggests that, like other delphinids, killer whales produce low-frequency sounds but 37 further studies will be required to understand whether similar sounds exist in other killer 38 whale populations.

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## 44 I. INTRODUCTION

45	Cetaceans produce a variety of acoustic signals, generally divided into clicks, pulsed
46	calls, and tonal signals, for communication and echolocation (see Richardson et al., 1995
47	for a review). Tonal signals are usually sounds with a continuous sinusoidal waveform
48	and narrow-band frequency, typically with harmonics. Different terminology is used to
49	describe them depending on species group; in odontocetes tonal signals are generally
50	referred to as 'whistles', although this terminology may not be appropriate due to these
51	sounds being produced by tissue vibrations rather than by resonating air volumes
52	(Madsen et al., 2012). In mysticetes, tonal signals are generally designated as 'moans' or
53	'tonal calls' (Richardson et al., 1995).
54	The sound frequency of tonal signals appears to be negatively correlated to body size
55	in cetaceans, with the larger baleen whales producing lower frequency signals than
56	odontocetes (Ding et al., 1995; Matthews et al., 1999; Podos et al., 2002). Once
57	phylogeny is taken into account, this relationship only holds for minimum frequency, but
58	not for maximum frequency (May-Collado et al., 2007). However, low frequency (<1500
59	Hz) tonal sounds have also been described for some delphinids. For example, bottlenose
60	dolphins (Tursiops truncatus) produce low frequency narrow-band sounds (Schultz et al.,
61	1995; Simard et al., 2011; Gridley et al., 2015), 'gulps' (dos Santos et al., 1995) and
62	'moans' (van der Woude, 2009), as well as low-frequency pulsed calls, the 'bray calls'
63	(dos Santos et al., 1995; Janik, 2000). Other low-frequency narrow-band sounds include
64	Risso's (Grampus griseus) and Pacific humpback dolphin (Sousa chinensis) 'grunts'
65	(Corkeron and Van Parijs, 2001; Van Parijs and Corkeron, 2001) and Atlantic spotted
66	(Stenella frontalis) and bottlenose dolphin 'barks' (Herzing, 1996). Contextual

67 production suggests these sounds are generally associated with socializing (e.g. Simard et 68 al., 2011), and feeding behaviors (Janik, 2000; Gridley et al., 2015). The minimum 69 frequency of delphinid low-frequency sounds can be as low as 39 Hz and well within the 70 frequency range of baleen whale 'moans' and 'tonal calls' (van der Woude, 2009). 71 Killer whale (Orcinus orca) tonal signals are also referred to as 'whistles' and 72 although few quantitative descriptions have been conducted, whistle frequency 73 characteristics appear to vary between populations or ecotypes. For example, while 74 resident and transient killer whales in the North Pacific appear to produce whistles in the 75 audible range (<20 kHz; Thomsen et al., 2001; Riesch and Deecke, 2011), others in the 76 North Pacific, North Atlantic and Antarctic also produce whistles in the ultrasonic range 77 (>20 kHz; Samarra et al., 2010; Simonis et al., 2012; Filatova et al., 2012; Trickey et al., 78 2014). Ultrasonic whistles of killer whales in Iceland and Norway appear to have higher 79 fundamental frequency, shorter duration and more variable time-frequency contours than 80 those of whales in the Pacific Ocean (Samarra et al., 2015). Quantitative descriptions of 81 the whistles produced by Northeast Pacific resident and transient killer whales show that 82 duration ranges between 0.06 and 18.3 s, and the fundamental frequency ranges from 2.4 83 to 16.7 kHz (Thomsen et al., 2001; Riesch and Deecke, 2011), although minimum 84 frequency can be as low as 1.5 kHz (Ford, 1989). In the Northwest Atlantic tonal signals 85 with minimum frequency of 0.5 kHz were reported (Steiner et al., 1979). Whistles are 86 mostly produced during socializing or high-arousal contexts (Ford, 1989; Thomsen et al., 87 2002) and some have stereotyped frequency contours that are often produced in complex 88 sequences (Riesch et al., 2006, 2008).

89 Although the vocal behavior of killer whales has been extensively studied in several 90 locations, most studies have focused on pulsed calls, the most common vocalization 91 produced (e.g., Moore et al., 1988; Ford, 1989; Strager, 1995; Filatova et al., 2007). 92 Killer whale social groups produce unique and stable repertoires of stereotyped pulsed 93 calls that are used in different behavioral contexts (Ford 1989, 1991). In Iceland and 94 Norway killer whale call production increases significantly during feeding (Simon et al., 95 2007). Both populations are thought to feed primarily on Atlantic herring (Clupea 96 harengus; Sigurjónsson et al., 1988; Similä et al., 1996), using coordinated group feeding 97 where whales encircle herring schools and use underwater tail slaps to debilitate their 98 prey before feeding (Similä and Ugarte, 1993; Simon et al., 2007; Samarra and Miller, 99 2015). Underwater tail slaps produce a characteristic broadband multipulsed sound 100 (Simon *et al.*, 2005) that can be used as an acoustic cue of a feeding attempt (Samarra and 101 Miller, 2015). Pulsed calls produced during feeding are thought to be used for group 102 coordination (Similä and Ugarte 1993; Shapiro 2008; Samarra and Miller 2015) and 103 because herring respond to killer whale sounds (Doksæter et al., 2009; Sivle et al., 2012), 104 these acoustic stimuli may serve to help modify the herrings' behavior (Similä and Ugarte 105 1993).

The low-frequency component of calls produced by Northeast Atlantic killer whales has slightly higher median frequency than calls of North Pacific resident whales and significantly higher than transient killer whales, with the majority of calls having a median frequency between 0.5-1 kHz (Filatova *et al.*, 2015). Generally, killer whale pulsed calls have pulse repetition rates between 0.25 and 2 kHz, with most energy between 1 and 6 kHz, and durations from less than 50 ms to over 10 s (Ford, 1989).

112 Quantitative descriptions of calls produced by killer whales in Norway report frequencies 113 between 0.04 and 4.8 kHz and durations ranging between 0.11-2.2 s (Strager, 1993, 114 1995), while in Iceland mean frequencies varied between 0.16 and 3.28 kHz and mean 115 duration between 0.355 and 2.142 s (Moore et al., 1988;). In Iceland, a distinctive long, 116 low frequency call is produced exclusively during feeding just before an underwater tail 117 slap, termed 'herding call' (Simon et al. 2006). This call was recently also recorded in 118 Shetland (UK) also in association with feeding upon herring (Deecke et al., 2011). The 119 herding call has a relatively flat time-frequency contour and peak fundamental 120 frequencies may vary between 406 and 1414 Hz while duration ranges from 0.83 to 8.5 s 121 (Samarra, 2015). Due to its low frequency, presumably unsuitable for intra-specific 122 communication, but within the frequency range that herring is sensitive to, the herding 123 call is thought to function in prey manipulation (Simon et al., 2006). It is thought that 124 herding call production leads to an anti-predator response of the herring, which schools 125 tighter. By helping compact the herring school prior to an underwater tail slap this call 126 likely increases feeding efficiency (Simon et al., 2006). 127 Although the characteristics of killer whale signals have been investigated in some 128 locations, low-frequency sounds such as those produced by some other delphinids have, 129 to our knowledge, not been previously reported for this species. Here we report distinctly 130 low frequency (<300 Hz) narrow-band sounds produced by Northeast Atlantic killer

- 131 whales, hereafter termed LFS. We analyze recordings of killer whales in Iceland and
- 132 Norway to investigate the production of such sounds across different populations.
- 133

#### 134 **II. METHODS**

# 135 A. Data collection

136	Acoustic recordings were made in Iceland and Norway in multiple years and multiple
137	locations (Table I, Figure 1). All recordings were collected in fjords or open water
138	locations where killer whales were observed feeding on herring. We used a variety of
139	recording systems, including a 16-element towed hydrophone array recording onto an
140	Alesis© ADAT-HD24 XR (frequency response 0.022-44 kHz, ±0.5 dB; Miller and
141	Tyack, 1998; Alesis, Cumberland, RI); a 2 element towed array with Benthos© AQ-4
142	(Teledyne Benthos, Falmouth, MA) and Magrec© HP-02 pre-amplifiers (Magrec Ltd.,
143	Lifton, UK; frequency response 0.1-40 kHz, ±3 dB) towed array recording onto a
144	Marantz© PMD671 (frequency response 0.02-44 kHz, ±0.5 dB; Marantz America LLC,
145	Mahwah, NJ) or a Sound Devices© 702 (frequency response 0.001-40 kHz, ±0.5 dB;
146	Sound Devices LLC, Reedsburg, WI); a 4-element vertical array (High Tech Inc© 94-
147	SSQ with pre-amplifiers; frequency response 0.002-30 kHz; High Tech Instruments,
148	Long Beach, MS) connected to an Edirol© FA-101 soundcard (frequency response 0.02-
149	40 kHz, +0/-2 dB; Roland Corporation US, Los Angeles, CA) and recording onto a
150	laptop using PAMGUARD (Gillespie et al., 2008) or connected to a Roland© R-44
151	(frequency response 0.02-40 kHz, +0/-3 dB; Roland Corporation US, Los Angeles, CA);
152	a single hydrophone (High Tech Inc© 94-SSQ with pre-amplifiers; flat frequency
153	response 0.002–30 kHz) recording onto a laptop using Adobe Audition 2.0©, or
154	recording onto a M-Audio Microtrack II (M-Audio, Cumberland, RI); and movement and
155	sound recording tags attached to killer whales using suction cups ('Dtags'; flat frequency
156	response 0.6-45 kHz; Johnson and Tyack, 2003). With the exception of Dtags, all
157	recording systems had a lower frequency response varying between 0.002-0.1 kHz.

158 In 2014 an Ecological Acoustic Recorder (EAR, Lammers et al., 2008) was deployed 159 at a depth of ~30 m in inner Kolgrafafjörður, Iceland (Figure 1). The inner part of the 160 ford was only accessible through a narrow and shallow man-made channel, with very 161 strong currents, and was the location where large quantities of herring (*Clupea harengus*) 162 were found in 2014. Killer whales were often observed passing through the narrow 163 channel to feed on herring in the inner part of the fjord. The EAR was deployed between the 22<sup>nd</sup> February and the 31<sup>st</sup> March 2014, recording for 5 minutes every 10 minutes at a 164 165 sampling rate of 64 kHz. No other marine mammals were observed (or acoustically 166 detected) in the vicinity during acoustic recordings of killer whales in Iceland and 167 Norway, except for the winter of 2014 when occasionally white-beaked dolphins 168 (Lagenorhynchus albirostris) and pinnipeds were observed in the same area but never in 169 close proximity to the killer whales. Visual observations were usually conducted from the 170 observation boat during all acoustic recordings with the exception of EAR recordings, 171 which continued in bad weather conditions or at night when the research vessel was 172 absent. Thus, low frequency sounds detected in these conditions were assumed to be 173 produced by killer whales if produced concurrently with other killer whale sounds. 174 Nevertheless, no other sounds were clearly detected on the EAR recordings that would 175 suggest the presence of other marine mammal species.

176

#### 177 **B. Acoustic analysis**

All recordings were inspected using Adobe Audition 2.0 (Adobe Systems Inc., San
Jose CA) using the following FFT settings: Blackmann-Harris window; FFT=8192 or
16384, for 64 or 96 kHz and 192 kHz sampling rates, respectively; 100% window width;

181 or Audacity 2.0.3 (Audacity Development Group, Pittsburgh, PA) using the settings: 182 Hanning window; FFT=8192 or 16384, for 64 or 96 kHz and 192 kHz sampling rates, 183 respectively; 100% window width). The beginning and end time of each LFS was 184 marked. In general, LFS were easily distinguishable from other sounds, but if any 185 ambiguous sounds were detected these were not marked or used for further analyses. 186 Each detected LFS was then extracted from the main recording, lowpass filtered to avoid 187 aliasing and the sampling frequency was converted to 2 kHz. Start, end, minimum and 188 maximum frequency and duration were measured from each LFS with cursors directly 189 from the spectrogram display created in MATLAB R2013a. The precision of these 190 measurements was probably in the order of 50-100 ms, thus measurements from signals 191 with duration of 100 ms or less should be interpreted with care. We only extracted 192 parameters from LFS clearly visible in the spectrogram with signal to noise ratios >10 dB 193 and not overlapped with noise (e.g., from movements of the hydrophone or loud flow 194 noise).

195 To compare how these sounds differed from other killer whale low frequency sounds 196 previously described in the literature we compared these measurements to measurements 197 taken from herding calls (the same sample as in Samarra, 2015). We first compared the 198 parameter distributions using Mann-Whitney U-tests, to account for the non-normality of 199 most parameter distributions (Shapiro-Wilk normality tests: P < 0.0001, except for LFS 200 end frequency with P=0.006 and LFS maximum frequency with P=0.25). We used a 201 Bonferroni correction to adjust the significance level to account for multiple comparisons 202 (0.05/5 = 0.01). We further input these measurements into a multivariate discriminant 203 function analysis where sound type (herding call or LFS) was used as the grouping

variable and we used a jackknife cross-validation technique implemented in the *lda*function of package MASS version 7.3-16 (Venables and Ripley, 2002) in R 3.2.2 for
Mac OS X (R Core Team, 2015). The overall proportion of correct classifications and the
proportion of correct classifications by location were calculated and compared to the
proportion of by-chance accuracy, which was assumed to be equal (50%) for both sound
types.

210

#### 211 C. Behavioral context

212 To investigate whether LFS might be produced in a feeding context we analyzed a 213 Dtag deployment containing different behavioral contexts, where several LFS were 214 detected with sufficient quality for analysis. This Dtag was deployed on a large juvenile 215 killer whale in Iceland in July 2009 and the whale was tracked from an observation boat 216 throughout the deployment duration. Sounds used in the analysis were assumed to have 217 been produced by the tagged whale or by whales in its immediate vicinity, at similar 218 depth and engaged in the same behavior. We restricted our analysis to this sample as the 219 majority of the other acoustic recordings where we detected high quality LFS were 220 restricted to a feeding context. This preliminary analysis was conducted to study possible 221 contextual production but results should be interpreted with care given these are based on 222 one sample. We calculated the time interval between each LFS and the nearest tail slap 223 (which can be used as an acoustic cue of a feeding attempt; Samarra and Miller 2015) and 224 then randomized LFS timing by linking the start and end of the deployment and rotating 225 the LFS production sequence a random amount of time. We repeated this step 100,000

times to generate a probability distribution of mean expected intervals to nearest tail slapand compared it to the observed values.

228

## 229 III. RESULTS

We collected 553.4 hours of recordings from Iceland and 100.4 hours of recordings from Norway (Table I). The difference in total recording time between Iceland and Norway is mainly due to the 432 hours of recordings collected with a stationary hydrophone in the winter season of 2014 in Iceland. The methodologies used in both locations differed somewhat; in Norway only towed arrays and Dtags were used while in Iceland vertical arrays, single hydrophones and a stationary hydrophone were also used (Table I).

237 We detected 852 LFSs sounds in Iceland but no similar sounds in Norway (Table I).

A total of 189 LFSs were selected for parameter measurements, 50 from winter and 139

239 from summer. LFS were recorded in several years, different locations and always

240 concurrently with other killer whale sounds. Recordings collected with a stationary

241 hydrophone also included several hours of recordings with no killer whale sounds, but

242 LFSs were only recorded concurrently with other killer whale vocalizations.

In general, LFSs showed little frequency modulation and were characterized by an inverted 'u' increase in frequency followed by a decrease (Figure 1). In most cases (90%) analyzed LFSs had one or more harmonics at least partially visible (Figure 1). The sinusoidal waveform suggests that these are tonal signals (Figure 1). Figure 2 shows the distributions of all LFS parameters measured. LFS duration ranged between 0.14 and

248 2.77 s with a mean  $\pm$  standard deviation of 0.67  $\pm$  0.31 s. All sounds analyzed were

249	produced exclusively below 300 Hz (Figure 2). LFS had a mean ± standard deviation
250	(minimum-maximum) start frequency of $136 \pm 27$ Hz (67-219), end frequency of $131 \pm$
251	29 Hz (67-233), minimum frequency of $113 \pm 22$ Hz (50-216) and maximum frequency
252	of 189 ± 26 Hz (113-270).
253	Comparisons between the time and frequency parameters of LFSs and herding calls
254	revealed significant differences in all parameters measured, including start frequency
255	(mean $\pm$ standard deviation of 136 $\pm$ 27 Hz for LFS vs. 860 $\pm$ 284 Hz for herding calls;
256	Mann-Whitney U-test: W=79001; P<0.0001), end frequency $(131 \pm 29 \text{ Hz for LFS vs.})$
257	$1050 \pm 286$ Hz for herding calls; Mann-Whitney U-test: W=79002; P<0.0001), minimum
258	frequency (113 $\pm$ 22 Hz for LFS vs. 823 $\pm$ 267 Hz for herding calls; Mann-Whitney U-
259	test: W=79000; P<0.0001), maximum frequency (189 $\pm$ 26 Hz for LFS vs. 1070 $\pm$ 285 Hz
260	for herding calls; Mann-Whitney U-test: W=79002; P<0.0001) and duration ( $0.67 \pm 0.31$
261	s for LFS vs. $2.9 \pm 1.0$ s for herding calls; Mann-Whitney U-test: W=78466; P<0.0001).
262	The discriminant function analysis also showed good discrimination between the two
263	signal types with an overall correct classification rate of 99%, with 100% of LFS and
264	99% of herding calls being correctly assigned to type. Only 4 of 418 herding calls were
265	incorrectly assigned to the LFS category.
266	Figure 3 displays the dive profile and concurrent sound production of a Dtag
267	deployed on a killer whale off the Vestmannaeyjar archipelago in Iceland in the summer
268	of 2009 (deployment oo09_201a). This deployment appears to have captured some non-
269	feeding behavior, including silent periods which likely represent travelling, as well as a
270	feeding event initiated near the end of the deployment, characterized by deep diving,

271 increased clicking and calling, and production of tail slaps (detailed view in Figure 3 top).

The majority of LFS are recorded during the bottom of these feeding dives, just prior to a
tail slap, suggesting contextual production of LFS during feeding. The mean interval to
nearest tail slap throughout this record was 83 s, which was significantly lower than
chance (mean interval of randomizations = 32 minutes; P<0.005). However, a different</li>
Dtag deployment (oo09\_200a) in the same location in Iceland, which also included
feeding behavior did not contain LFS, suggesting that if specific to a feeding context,
LFS production is not ubiquitous during all feeding events.

279

## 280 IV. DISCUSSION

281 Killer whales produce a variety of acoustic signals, but to date low-frequency signals 282 as seen in other delphinids had not been reported. In this study we report a characteristic 283 low-frequency sound (termed LFS) that was recorded in the presence of Icelandic killer 284 whales. Although this population is known to produce low frequency calls, termed 285 'herding' calls (Simon et al., 2006) our comparisons showed that LFS are significantly 286 different from herding calls. LFS are exclusively produced below 300 Hz, which is much 287 lower than the typical herding call frequencies of approximately 700 Hz or above (Simon 288 et al. 2006; Samarra, 2015). In addition, herding calls are generally long (~3 s), while low 289 frequency sounds have an average duration of  $\sim 0.7$  s. Finally, herding calls also appear to 290 have different time-frequency contours, generally flat often ending with a slight upsweep, 291 while LFS described here typically have an inverted 'u' shape. Thus, the sounds we 292 describe here represent a novel sound type previously unreported for the Icelandic killer 293 whale population.

294 When describing a novel sound type, particularly using recordings where the signaler 295 cannot be identified with certainty, it is important to establish whether any other species 296 could have produced the sounds. Herring are known to produce sounds when releasing air 297 from the anal duct, however LFS are unlike those previously described sounds (Wahlberg 298 and Westerberg, 2003; Wilson et al., 2004). In addition, LFSs were not detected in the 299 EAR recordings in the absence of killer whales but when herring were presumably 300 present in the area. To the best of our knowledge, sounds such as those described here 301 have not been previously recorded from herring. It also seems unlikely that these sounds 302 were produced by another species of cetacean or pinniped, as LFS were consistently 303 recorded only in the presence of other killer whale sounds, and close in time with their 304 feeding activity (Figure 3). No other marine mammals were ever seen feeding in close 305 spatial proximity to feeding killer whales in any of our daytime recordings. In addition, 306 one recording site was a small (approximately 5 km total length), shallow fjord, 307 Kolgrafafjörður (maximum depth ~40 m), where the presence of any baleen whale within 308 acoustic range would have been detected. During recordings collected with the 309 autonomous recorder, which included day and night-time recordings as well as days with 310 and without killer whales present, there were many hours of silence. LFS sounds were 311 only detected concurrently with other killer whale sounds in these recordings. Finally, 312 clear examples of the sound recorded on the Dtag attached to a killer whale provide 313 further evidence that they were produced by the tagged individual or a nearby whale 314 (Figure 3). The large acoustic recording sample we used, spanning several years, 315 recording locations and methodologies, together with the consistent production of LFS

316 concurrently with killer whale sounds, strongly points to killer whales to be the species317 that produced these sounds.

318	Unlike other delphinids that appear to produce low-frequency sounds mostly during
319	socializing contexts (Schultz et al., 1995; Simard et al., 2011; Gridley et al., 2015), the
320	signals reported here appear linked to feeding by killer whales, which is a social,
321	coordinated behavior. However, these sounds were not reported in all feeding events thus
322	further data is necessary to confirm the contextual production of LFSs. Bottlenose
323	dolphins also produce low-frequency sounds during feeding, the 'bray calls' (Janik,
324	2000). However, studies of the function of LFS will be necessary before comparisons can
325	be drawn between the use of low-frequency sounds across different species.
326	Like previously described low frequency sounds of other delphinids, such as the low
327	frequency narrow-band sounds and moans of bottlenose dolphins (Schultz et al., 1995;
328	van der Woude, 2009; Simard et al., 2011) killer whale LFSs sounds had little frequency
329	modulation (Figure 1). However, LFSs were considerably longer than bottlenose dolphin
330	low frequency narrow-band sounds (mean of 0.05 sec; Schultz et al., 1995), shorter than
331	moans (mean of 2.08; van der Woude, 2009) but had a similar frequency range to that of
332	bottlenose dolphin moans (150-240 Hz, van der Woude, 2009), with the fundamental
333	frequency ranging between 100-250 Hz. Based on these characteristics, this signal may
334	have various putative functions.
335	It is possible that LFSs may be a non-vocal by-product of another behavior. For
336	example, bottlenose dolphin 'moans' appear to be produced concurrently with
337	bubblestream and it is unclear if the sounds are produced in association with the
338	bubblestream or as a result of it (van der Woude, 2009). LFSs show similarities in

339 frequency content to these signals, thus could similarly be associated with bubble 340 production in killer whales. Similä and Ugarte (1993) report bubble production by 341 Norwegian killer whales feeding on herring that is thought to help herd the herring 342 further and our own field observations suggest this also occurs in Iceland. However, the 343 fact that LFS were not recorded in all feeding events and were not recorded in Norway, 344 where killer whales are known to produce bubbles when feeding (Similä and Ugarte, 345 1993), suggests that these sounds may not be a by-product of bubble production by killer 346 whales, although a larger sample size may be necessary to rule this out. However, LFSs 347 could still be the by-product of movement or other type of unknown behavior. LFSs were 348 not recorded frequently suggesting that if these sounds are produced as the by-product of 349 a behavior or movement, this behavior only happens rarely. Alternatively, LFSs may be a 350 vocal signal deliberately produced by killer whales for communication or to manipulate 351 prey behavior.

352 Based on the known hearing sensitivity of killer whales a communicative function is 353 perhaps unlikely. The frequency range of LFSs is considerably below the best hearing 354 sensitivity of killer whales (18-42 kHz; Szymanski et al., 1999). Measurements of killer 355 whale hearing sensitivity at the frequency of the signals reported here have not been 356 conducted, however hearing sensitivity is considerably decreased at 1kHz (Hall and 357 Johnson, 1972; Szymanski et al., 1999). Estimates of LFS source level and killer whale 358 hearing sensitivity at frequencies below 1 kHz would be required to test whether killer 359 whales can perceive these sounds, even if only at close range, as has been demonstrated 360 for the low-frequency sounds produced by other delphinids (Simard *et al.*, 2011). On the 361 other hand, herring is most sensitive at frequencies between 100-1200 Hz (Enger, 1967)

362 thus LFS could be directed at prey. Since Icelandic killer whales are known to produce 363 feeding-specific calls of low frequency that are thought to function in prey manipulation 364 (Simon *et al.*, 2006), LFSs could be an additional signal serving a similar function. 365 However, our analysis shows that LFSs are significantly different from herding calls and 366 in comparison to herding calls, LFSs appear to have lower amplitude thus might not be 367 effective signals for prey manipulation. In addition, it is unclear why the whales would 368 require two different sound types with a redundant functionality. Further data will be 369 required to address these questions, particularly using animal-attached tags that could 370 provide high-resolution data on the behavioral context and help identify contextual 371 variations that could help explain the function of LFS and the factors driving its 372 production in some contexts.

373 Intra-specific variability in acoustic signals produced during feeding may represent 374 individual variation or an adaptation to prey-targeted or environmental characteristics. 375 For example, humpback whales (Megaptera novaeangliae) in Alaska produce feeding 376 calls that have not been recorded from feeding humpbacks elsewhere (Jurasz and Jurasz, 377 1979; D'Vincent et al., 1985; Cerchio and Dahlheim, 2001), while in the Northwest 378 Atlantic feeding humpbacks produce short pulses of broadband sound termed 379 'megapclicks' (Stimpert et al., 2007) and paired pulses (Parks et al., 2014) that also 380 appear to be exclusive to this location. Similarly only killer whales in Iceland and 381 Shetland have been recorded producing herding calls when feeding on herring (Simon et 382 al., 2006; Deecke et al., 2011; Samarra, 2015). Despite feeding on the same prey, feeding 383 strategies adopted by killer whales in Iceland and Norway differ (Samarra and Miller, 384 2015). It is possible that, like herding calls (Simon *et al.*, 2006), LFSs are produced as

part of a feeding behavior that is exhibited by killer whales in Iceland, but not in Norway.
Nevertheless, we cannot rule out the possibility that the absence of these sounds in our
Norwegian sample is simply due to sampling limitations or differences in some of the
recordings methods (Table I).

389 The low-frequency characteristics of these sounds make them easily masked by low 390 frequency noise sources (e.g. boat noise), thus LFS may go unnoticed. For example, the 391 use of towed hydrophone arrays deployed from a moving vessel or Dtags with flow noise 392 can influence the ability to detect these signals. Poor low-frequency response of recording 393 systems or deliberate low-frequency cutoffs to reduce noise may further reduce the ability 394 to detect these signals, which in addition to different research focuses (e.g., on pulsed 395 calls or whistles) could explain the absence of these sounds from studies in other 396 populations. It is likely that such low-frequency sounds exist in other populations but due 397 to their infrequent production have not been previously described. For example, in 398 Shetland a small sample of low-frequency sounds were detected (V. B. Deecke, 399 unpublished data). Different terminology may also have been assigned to LFS-like 400 sounds detected in other populations (e.g., 'grunts' or 'moans') but to the best of our 401 knowledge quantitative descriptions to allow comparison have not been provided. Further 402 investigation of acoustic recordings from other populations would be valuable to 403 investigate if occurrence of low-frequency sounds is widespread. 404 This study contributes to our knowledge of the acoustic repertoire of killer whales, 405 however, additional data will be required to understand the production mechanism, 406 function, and behavioral context of LFS and whether they are exclusively produced by 407 only a few populations. Although our findings suggest that some Northeast Atlantic killer

whales can produce sounds across a wide range of fundamental frequencies (50 Hz to 75
kHz, Samarra *et al.*, 2010), there are clear distinctions between these signals, which likely
serve different functions. Our study shows that, like other delphinids, killer whales also
produce low-frequency sounds, suggesting these are common among delphinids. The
inclusion of such sounds in future evolutionary studies of cetacean tonal signal frequency
may be worthwhile.

414

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428

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- 1 **Table I.** Summary of recordings analyzed. Recordings were made using towed (TA) or vertical hydrophone arrays (VA), a single
- 2 hydrophone (SH), an Ecological Acoustic Recorder (EAR, Lammers et al. 2008) or Dtags (Johnson and Tyack, 2003). Recordings
- 3 made during each day were used as a proxy for number of encounters.

Location	Region	Year	Season	Recording	Sampling	No. of	Recording	LFS recorded
				method	rate (kHz)	encounters	duration (hh:mm)	(analyzed)
Norway	Vestfjord	2005	Winter	TA; Dtag	96	13	28:26	-
	۲۵	2006	دد	TA; Dtag	96	5	12:46	-
		2007	دد	ТА	96	5	13:39	-
	Vestfjord	2008	Spring	ТА	96	1	04:37	-
		"	دد	Dtag	192	1	15:43	-
		2009	دد	Dtag	192	1	11:52	-
		دد	دد	Dtag	96	1	13:21	-
Iceland	Vestmannaeyjar	2008	Summer	VA	96	7	16:07	73 (9)
	دد	2009	"	Dtag	192	3	12:17	5 (2)
	۲۲	"	"	Dtag	96	1	04:12	8 (7)
	۲۵	"	"	VĂ	192	12	30:39	111 (7)
	۲۲	2010	"	SH	48	3	02:10	57 (19)
	۲۲	"	"	SH	96	1	00:20	6 (2)
	۲۲	"	دد	ТА	96	4	06:54	91 (20)
	۲۲	2013	دد	VA	96	4	02:06	25
	۲۲	2014	دد	ТА	48	4	06:12	51 (11)
	۲۲	"	دد	ТА	192	6	12:00	103 (27)
	۲۲	"	دد	SH	96	4	05:36	117 (32)
	Breiðafjörður	2013	Winter	VA	96	14	10:36	50 (7)
		"	دد	SH	96	15	01:24	68 (19)
	۲۵	"	دد	Dtag	240	3	04:48	4
	دد	2014	دد	SH	96	7	03:00	1(1)
	دد	"	دد	VA	96	5	02:54	5 (3)

دد	دد	"	EAR	64	38	432:06	77 (23)

## 1 Figure Legends

Figure 1. Example spectrograms of low frequency sounds produced by killer whales in
Iceland (see Supplemental material), with the waveform of one example shown at the top.
Spectrogram parameters: FFT size: 256; overlap: 87.5%; window function: Hann;
frequency resolution: 7.8 Hz; time resolution: 16 ms.
Figure 2. Distribution of frequency parameters (start, end, minimum and maximum
frequency) and duration extracted from analyzed LFS. For each box the central line gives
the median and the edges represent the 25<sup>th</sup> and 75<sup>th</sup> percentiles. Whiskers extend to the

10 most extreme values and outliers are plotted as single points. Duration is plotted

11 separately due to its different y-axis scale.

12

Figure 3. Dive profile of tag 0009\_201a attached to a large juvenile killer whale in Vestmanaeyjar (SW Iceland) in July 2009, in which seven high quality LFS were recorded: A) example spectrogram of one of the LFSs detected during the first deep dive of the deployment; B) detailed dive profile of a section of the deployment when a feeding event begins, with increased clicking, calling and production of underwater tail slaps that are preceded by LFS in three deep dives; C) dive profile of the entire deployment highlighting periods of tail slap, call, click train and LFS production.

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