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# Intra-Day Reliability and Sensitivity of Four Functional Ability Tests in Older Females

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3

# 4 ABSTRACT

5 Functional tests are commonly used to evaluate functional ability of older individuals, however, intra-day 6 reliability and sensitivity are required to enable informed decisions on whether repeated trials are 7 necessary and to ensure that the values obtained from a single session are a patient's true score. The study 8 aimed to investigate the intra-day reliability and sensitivity of commonly used functional tests in older 9 individuals. Seventy one healthy older females (71.7 (7.3) years, 64.8 (10.2) kg, 1.58 (0.07) m) performed 10 the 6m maximum walking speed, timed 8-foot up-and-go, chair sit-and-reach, and back scratch tests three 11 times in one single session, with one minute between trials. Reliability was examined using intraclass 12 correlation coefficient (ICC) while sensitivity using typical error (TE) between all trials. All tests were 13 highly reliable (ICC range 0.89-0.99), indicating no need for a familiarization trial. TE between trials 2-1 14 were 0.06 ms<sup>-1</sup>, 0.42 s, 1.13 cm, 0.92 cm for the 6m maximum walking speed, timed 8-foot up-and-go, 15 chair sit-and-reach, and back scratch tests, respectively. Practitioners should perform two tests to examine 16 whether the difference between them is less than the TE reported here. These results should help 17 practitioners ensure that scores obtained from an individual from these functional tests are a true 18 reflection of their functional ability rather than measurement error. 19

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20 Key Words: Functional Ability Tests, Neuromuscular Performance, Test-Retest, Typical Error

21

# 22 INTRODUCTION

The ability to successfully perform everyday tasks (e.g. rising out of a chair, successfully crossing the road, avoiding raised objects) allows for independent ageing. Various exercise interventions have been used in older populations in an attempt to maintain functional ability.<sup>1-4</sup> To quantify the success of such interventions, functional assessments were developed to replicate everyday tasks<sup>5,6</sup> and, hence, evaluate
the impact of the intervention on real-life situations.

28 Functional ability tests frequently used in older populations to assess their functional performance 29 include the 6m maximum walking speed<sup>6</sup>, the timed 8-foot up-and-go, the chair sit-and-reach and the 30 back scratch flexibility tests.<sup>5</sup> Some of the advantage of these tests is the relevance to 'real-life' 31 movements, low demand on resources, low equipment cost involved and the quick results they provide.<sup>5</sup> 32 The applicability of such measurements aside, any assessment tool must be valid and reliable to avoid 33 erroneous conclusions on the effectiveness of a particular intervention. Many of these tests have 34 previously been shown to be valid and reliable when compared to more advanced measures.<sup>5</sup> Good test-35 retest (inter-day) reliability of functional tests is important in enabling accurate evaluation of intervention programmes (e.g. Capodaglio et al.<sup>1</sup>, Carvalho et al.<sup>2</sup>, Hallage et al.<sup>4</sup>, Thomas et al.<sup>6</sup>). Indeed, intraclass 36 37 correlation coefficient for test-retest reliability of these measures was high (i.e. > 0.90), indicating 38 stability of the test scores over time.

39 However, when individuals are going through a functional ability screening process, their 40 performance is assessed in a single session. It is therefore critical that the assessor is confident that that 41 the score they measure is a true reflection of the individual's ability on a given test. Similarly, it is 42 common in a research setting that these tests are administered to compare performances between groups 43 at a single point in time (e.g. Butler et  $al.^7$ ) and therefore for accurate comparisons to be made, the 44 researcher must be confident in the scores recorded for each group. As reliability is an indication of 45 measurement error (and, thus, high reliability suggests low measurement error), it is important to know 46 the same-day test-retest (intra-day) reliability of these tests, to make informed decisions about their use 47 and ensure appropriate amount of trials is given before a score is recorded.

Although reliability of a test is useful knowledge for the test itself, it provides little information and assistance to practitioners to make judgments from a single individual's result. Every test performance includes an inherent random variation as a result of biological variability in the execution of movement.<sup>8</sup> Quantification of this random variation, can be made with the use of typical error (TE<sup>8</sup>), which provides a readily available score that indicates the magnitude of the random variation form
measurement to measurement. Such a value allows the practitioner to determine whether the inevitable
variability between two trials is within an acceptable range (i.e. equal to or below the TE score).
Differences between two trials above the TE indicate that other factors are impacting on the result (e.g.
lack of clarity in instruction, poor measurement technique, incorrect execution of task), and the test needs
to be redone.

Given the importance of reliable intra-day testing and the need for a threshold that will guide and inform practitioner's decision on the correct number of test repetitions while avoiding unnecessary repetitions, the aim of the present study was to assess the intra-day reliability and sensitivity of these commonly used functional tests in older females. As females deteriorate faster than males in functional ability, they are in need of accurate screening processes to allow effective monitoring.<sup>9</sup>

63

# 64 **METHODS**

## 65 Participants

Seventy one healthy, physically active older females (mean (SD): age 71.7 (7.3) [range: 60-84
years] years, body mass 64.8 (10.2) kg, stature 1.58 (0.07) m) participated in the study after giving written
informed consent. Participants had no known neuromuscular disorders and were considered medically
stable, according to the criteria described by Greig et al.<sup>10</sup>. Ethical approval was obtained from the Ethics
committee of the University of Strathclyde and all procedures followed were in accordance with the
Helsinki Declaration of 1975.

72

## 73 Functional Ability Tests

Participants performed three trials of functional ability tests used to assess a number of
parameters important in everyday living tasks.<sup>5,6</sup> All tests were done in one single session with one minute
rest given between trials. Tests were performed in a randomized order and no prior familiarization was
given for any test.

78

79	6m Maximum Walking Speed (SPEED)
80	SPEED evaluates neuromuscular function and has been found to improve with increased
81	strength <sup>11</sup> and body weight unloading speed <sup>6</sup> in older adults. To assess maximal walking speed,
82	participants started from a static standing position and walked as fast as they could to the end of a 9m
83	course <sup>6</sup> . Visible markers were placed at the start, 6m and 9m. Time taken from start to 6m was recorded
84	using a stop watch (Seiko, Seiko S-Yard Co Ltd, Tokyo, Japan) and speed was calculated.
85	
86	Timed 8-Foot Up-and Go (TUG)
87	TUG poses various stresses to the neuromuscular system by a range of challenges including
88	generation of leg force to lift the individual off the chair without using their arms and assume a balanced
89	upright position, walk at high speed, change direction and return at high speed while turning to resume a
90	seated position. To assess the integration of these parameters (power, speed, agility and dynamic
91	balance) <sup>5</sup> , time taken to raise from a seated position, walk 2.44m (8 feet), turn and return to the seated
92	position, was recorded (Seiko, Seiko S-Yard Co Ltd, Tokyo, Japan).
93	
94	Chair Sit-and-Reach (CSR)
95	The CSR test is a widely used test of back and hamstring flexibility <sup>5</sup> . To assess back and
96	hamstring flexibility, while sitting on a chair with the legs stretched out in front, the participant was asked
97	to reach down towards their toes. Participants were asked to maintain their foot at 90° of dorsiflexion with
98	their toes relaxed in natural position. The distance between the extended fingers and the tip of the toes
99	was measured. Left side (CSR_left), right side (CSR_right) and the average of the two (CSR) was used
100	for further analysis.
101	
102	Back Scratch (BS)

103	The BS is a widely used test assessing upper body flexibility <sup>5</sup> . To assess shoulder range of
104	motion, the participant had one hand reaching down over the shoulder and the other one up the middle of
105	their back. The distance between the extended fingers of the two hands was measured. Data was analyzed
106	as left side (BS_left), right side (BS_right), depending on which hand was reaching down over the
107	shoulder, and the average of the two (BS).
108	
109	Data Analysis
110	Heteroscedasticity of data was checked by examining the uniformity of the scatter when change
111	scores were plotted against the mean scores. As heteroscedasticity was absent raw scores were used for
112	further analysis. Reliability and sensitivity were calculated as suggested by Hopkins <sup>8</sup> . Intraclass
113	correlation coefficient (ICC, calculated as 1-typical error^2 / mean between-subject standard deviation
114	between trials) and typical error (TE, calculated as standard deviation of the change scores between trials
115	/ square root of 2) were calculated between trials (ie trial 2 v trial 1, trial 3 v trial 2) <sup>8</sup> . ICC provided an
116	indication of agreement between trials <sup>11</sup> while TE an indication of the error expected from measurement
117	to measurement <sup>8</sup> . Descriptive data are given as mean (SD).
118	
119	RESULTS
120	Descriptive statistics for all tests for all sessions can be found in Table 1. All tests produced high
121	ICC (range: trial 2 v trial v 1, 0.89 – 0.98; trial 3 v trial 2, 0.90 – 0.99; Table 2), indicating high reliability
122	between trials.
123	INSERT TABLE 1 AND 2 HERE
124	TE values for all tests can be found in Table 3. All tests produced low TE values, with almost all
125	variables (SPEED, TUG, SR_right, SR, BS_right, BS) demonstrating a reduced TE between trial 3 v 2
126	compared to between trial 2 v 1 (Table 3). Hence, further reference to and suggestions about TE will be
127	from trial 3 v 2.
128	INSERT TABLE 3 HERE

129

#### 130 **DISCUSSION**

131 The present findings indicate that the tests have high intra-day reliability and sensitivity, as 132 suggested by the very similar ICC and TE scores between trials 2-1 and 3-2. Therefore, we posit that 133 firstly, a familiarization trial is not necessary and secondly, that practitioners should initially conduct two 134 trials. If the difference between trial 1 and trial 2 is smaller than the TE reported here, then the 135 practitioner can be confident that this is the patient's true score. 136 ICC has been widely used and suggested for reliability studies<sup>12</sup>, however, its interpretation can be challenging, as various ICC thresholds have been used. For example, Fleiss<sup>13</sup> suggested an ICC > 0.75137 138 as 'excellent', while Nunnally and Bernstein<sup>14</sup> stated that an ICC > 0.8 results from small measurement 139 error. The ICC scores for all the tests in the present study confirmed the high reliability of the functional 140 ability tests used as all ICC were above 0.8 suggesting high agreement between the measurements. ICC 141 for inter-day reliability of these tests was provided as part of the tests development by Rikli and Jones<sup>5</sup> 142 and ranged 0.90 - 0.96. The ICCs in the present study add to the high inter-day reliability of these 143 functional tests, as they indicate high intra-day reliability too. 144 Notwithstanding the importance of validity and reliability in measurement, the sensitivity of a 145 measure is an important factor<sup>8,15</sup> which is less widely reported. Although there is no uniformly accepted 146 measure of sensitivity<sup>15</sup>, the use of TE is suggested as the TE is easily interpreted and can be readily used 147 to assess accuracy of the measurement.<sup>8</sup> As the TE indicates the error expected from repeating a test in

raw units, it can be used as a threshold for its consistency. When using one of the functional ability tests described above, practitioners should record two performances. If the difference between the two scores is below the TE, they can be confident that this is the true score of the individual and no subsequent trial is required.

152 Of interest from the measurement of flexibility was the TE values for both the CSR and BS tests 153 being different from left to right side (refer to Table 3). This, in addition to the high intra-participant 154 variability, suggests that the use of an average value of the left and right, as typically reported for both

155 tests<sup>2,4,5</sup>, should be revisited. This average value may mask side differences that are important to identify. 156 For example, the BS test involves a combination of shoulder movements (abduction, adduction) as well 157 rotation (internal, external). These movements allow everyday tasks to be completed (e.g. combing hair, 158 putting on clothes)<sup>5</sup> and therefore, it is of importance to know whether both sides are equally capable to 159 achieve those aims. Similarly, any loss of ankle mobility on one ankle might impact on the CSR score. 160 offering erroneous results on 'flexibility' of back and hamstrings. Unlike the recommendation by Rikli 161 and Jones<sup>5</sup> to present the average of the left and right for the CSR and the BS tests, we suggest that each 162 arm movement is examined separately to enable examination of flexibility differences as well as 163 application of a more reflective TE.

164

#### 165 CONCLUSIONS

166 The functional ability tests examined in the present study are highly reliable when performed 167 within a short period of time and can reflect the individual's real score. In addition, assessment of an 168 individual's performance during a functional ability screening can be easily achieved by immediate 169 comparison of their values to the TE provided here. As the ICC and TE scores between trial 2-1 are 170 similar to scores between trial 3-2, this suggests that no familiarization trial is needed for these tests. Two 171 trials should be performed to allow the practitioner to assess whether the difference obtained is less than 172 the TE reported here, meaning the practitioner can be confident that it is a true score. Future studies 173 should consider the use of separate left and right side flexibility measures, as well as separate left and 174 right chair sit-and-reach flexibility measures, in order to examine side to side flexibility differences. 175

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